



Environmental Insurance Application Form

Facilities Pollution Application

**This Application Is for a "Claims-Made and Reported"
AXA XL Pollution and Remediation legal Liability Policy**



Please Read It Carefully And Fill It Out Completely

Applicant Instructions:

- (1) The Applicant must take care in answering all the following questions which are relevant to us in providing this insurance and setting the terms and premium. Use additional paper if necessary. Please contact us if you do not understand the question or the nature of the information required or please seek guidance from your broker. Failure to provide information or the provision of incomplete or inaccurate information may result in the loss of cover or other remedies. Sections I through to III must be completed in their entirety. If you have up-to-date engineering reports which provide answers to the questions below, the questions can be answered by reference to the reports.
- (2) The Applicant must tell us as soon as practicably possible about any changes to the information that has been provided to us which happens before or during any period of insurance. We will tell you if such change affects the Applicant's insurance and if so, whether the change will result in revised terms and/or premium being applied to the policy. If the Applicant does not inform us about a change it may affect any claim the Applicant makes or could result in the insurance being invalid.
- (3) If any questions do not apply, or the answer is "**No**", please indicate. Do not leave any questions blank.
- (4) If multiple locations are to be insured, copies of Section II must be completed for **each** location.
- (5) Please attach the following information, if available:
- ➔ Past five (5) years loss runs history.
 - ➔ Past two (2) years audited financial statements.

Section I - General Information

1 Applicant Name (include All subsidiary companies to be covered):

Address:

Contact:

Title:

Telephone:

Fax:

Email Address:

Web Site:

- 2 Firm Is:** ☐ Partnership ☐ Corporation ☐ Joint Venture
☐ Public ☐ Private ☐ Other (please specify)

- 3 Revenues:** Estimated (Ensuing Year): 20__ £ _____
Previous Year: 20__ £ _____

Attach the Applicant's latest Annual Report, marketing brochure and past two years audited financial statements.



4 Other Insurance:

(a) Has the Applicant been denied, cancelled or had any insurance non-renewed in the last 5 years?

☐ Yes ☐ No

If **Yes**, please provide details:

(b) Provide details of any pollution coverage currently provided under other policies held by the Applicant (carrier, limits of cover, retention, scope of cover, inception, expiration date):

5 Sanctions:

Does the Applicant have any exposure in countries or regions that are subject to limited or comprehensive sanctions? (e.g. is it incorporated, located or domiciled in such a country or region, is it conducting business activities, generating turnover in such a country or region or, are board members or majority owned by entities/individuals incorporated, domiciled or located in such a country or region?)

☐ Yes ☐ No

If **Yes**, please provide details:



6 Locations And Site Operations:

List of Locations to be Insured:

	Site Name & Full Postal Address (Include Post Code)	Description of Site Operations	Years at the Location	Freehold or Leasehold	Approx. Site Size (ha)
(1)					
(2)					
(3)					
(4)					



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Section II – Site-Specific Information

Section II must be completed for EACH location to be covered under the policy

Location:

Name of Location to which this Section applies:

1 Site Information

Is any of the following environmental information available for the site?

- | | |
|--|---|
| <input type="checkbox"/> Phase I Report (Desk study) | <input type="checkbox"/> Environmental Audit Report |
| <input type="checkbox"/> Phase II Env. Rep (Site Investigation) | <input type="checkbox"/> Geotechnical (Site Investigation) |
| <input type="checkbox"/> Environmental Management System (Environmental) | <input type="checkbox"/> Correspondence with Regulator |
| <input type="checkbox"/> Planning Conditions | <input type="checkbox"/> Groundwater (Surface Water Monitoring) |
| <input type="checkbox"/> Environmental Monitoring | <input type="checkbox"/> Other (please specify below) |

If **Yes**, please attach copies.

If the information attached above provides answers to the questions below, the questions can be answered by reference to the reports.

2 Site Operations

- (a) Does the site operate a process which is, or was, authorised, licensed, consented or otherwise subject to environmental regulation? (e.g. IPC, APC, IPPC, Waste Management Licence, Discharge Contents).

☐ **Yes** ☐ **No**

If **Yes**, please provide copies of any authorisations, licences or consents.

- (b) Describe any other companies which have offices or operations or lease space at the above locations:

3 Site History

What types of operations have been performed at the property prior to current operations, by whom and during what periods of time?



4 Site Setting (Attach Plan)

- (a) Please describe adjacent land uses (to the north, east, south and west) including distance to nearest residential property:

- (b) Are there any nearby surface water bodies (e.g. streams, lakes, wetlands)?

☐ Yes ☐ No

If **Yes**, provide details (names, direction and distance):

- (c) Are there any protected environments in the area (e.g. SSSI's, parks, wildlife reserves, etc)?

☐ Yes ☐ No

If **Yes**, provide details (names, direction and distance):

- (d) Is groundwater or surface water abstracted for use on or in the vicinity of the site?

☐ Yes ☐ No

If **Yes**, provide details (by whom, direction, distance & use):

- (e) Is the property located within a 100-year floodplain?

☐ Yes ☐ No

5 On-Site Raw Materials Or Process Materials

- (a) Provide details of any potentially hazardous raw materials or process materials currently or previously used at the location (e.g. fuels, plating agents, degreasers, cleaning solvents). Include details of the type and quantity of materials stored per year / at any one time and details of the storage and any secondary containment. Use additional sheet if required.

- (b) Is there any asbestos or man-made mineral fibre located anywhere on the site?

☐ Yes ☐ No

If **Yes**, provide details.

6 Tank Storage

Underground Storage Tanks and Aboveground Storage Tanks

- (a) Does this property have any current or former underground storage tanks (USTs) or aboveground storage tanks (ASTs)?

☐ Yes ☐ No



If **Yes**, please complete the table below. If **No**, move to question 7. Use additional sheet if required.

Specify if UST or AST, and state capacity	U or D*	Contents	Size	Construction Material	Age (yrs)	Secondary Containment

***U** - Used. **D** - Disused.

Decommissioned Underground Storage Tanks

(b) If any of the tanks detailed in 6(a) above have been decommissioned, provide details of when and how.

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(c) Are the current tanks subject to regular integrity testing or inspections?

☐ **Yes** ☐ **No**

If **Yes**, provide details of when tested, and copies of test results.

7 Waste, Effluent & Air Emissions

(a) Provide details of any hazardous wastes generated, handled, treated, stored or disposed of at or from the site. Include details of the type and quantity of waste stored per year / at any one time and details of the method of generation, handling, treatment or disposal (including whether disposed on or off-site). Use additional sheet if required.

(b) Identify any past storage or disposal practices at the site:

☐ Lagoons ☐ Landfill ☐ Landfarming ☐ Dilution/Mixing
☐ Pit ☐ Ponds ☐ Incineration ☐ Other

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If **Yes** to any of the above, provide further details.

(c) Provide details of effluent discharges from the site, including source, composition, volume and receiving body e.g. surface waters, foul water drains and soakaways (attach monitoring results / discharge consents where available). Use additional sheet if required.

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(d) Provide details of air emissions from the site (e.g. gases, vapours & dust) including source, composition, volume and details of any treatment / abatement (attach monitoring results where available). Use additional sheet if required.

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8 PFAS/PFOS

Provide details of any current or prior involvement with the manufacturing, handling, or use of any PFAS/PFOS compounds. Use additional sheet if required.

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Section III - Record, Compliance & Future Site Plans

- 1** Has any Applicant received any notices of violation, fines, penalties, complaints, or other enforcement actions regarding compliance with environmental law or standards from, but not limited to, private persons, entities, regulatory bodies or other third parties?

☐ **Yes** ☐ **No**

If **Yes**, please explain below and provide copies of any correspondence.

- 2** At the time of signing this application, is the Applicant aware of any contamination on or emanating from the covered location, or any circumstances (e.g. leakages or spillages) which may reasonably be expected to give rise to a claim under this policy or otherwise?

☐ **Yes** ☐ **No**

If **Yes**, please explain.

- 3** Has the Applicant ever had any pollution claims including, but not limited to, claims by private persons, entities, government agencies or other third parties?

☐ **Yes** ☐ **No**

If **Yes**, please describe.

- 4** Are there any statutes, standards, guidelines or regulations relating to the protection of the environment which the Applicant is currently unable to comply with?

☐ **Yes** ☐ **No**

If **Yes**, please provide details.

- 5** Is the Applicant providing or benefiting from any environmental contracts, indemnities, warranties or provisions in a lease, sale or purchase agreement?

☐ **Yes** ☐ **No**

If **Yes**, please provide details.



6 Does the site operate an Environmental Management System?

☐ **Yes** ☐ **No**

If **Yes**, please provide details (e.g. areas covered, whether accredited, who accredited by, how long operated, name of environmental manager).

7 Does the facility have other emergency response plans or procedures in place (e.g. under the COMAH regulations), and are staff aware/properly trained?

☐ **Yes** ☐ **No**

If **Yes**, please explain.

8 Are there any future plans to sell, sublease, develop, improve, demolish or change the use of the locations listed herein?

☐ **Yes** ☐ **No**

If **Yes**, please explain.

9 Are there any planned or proposed surveys, investigations, reports, audits, remediation works, monitoring or sampling works to investigate potential contamination arising from the locations listed herein?

☐ **Yes** ☐ **No**

If **Yes**, please provide details.

Section IV - Declaration

I/we declare that the information disclosed in this application, is to the best of my/our knowledge and belief both accurate and complete. I/we have taken care not to make any misrepresentation in the disclosure of this information and understand that all information provided is relevant to the acceptance and assessment of this insurance, the terms on which it is accepted and the premium charged

Signed on Behalf of:

Signature:

Signatory's Name:

Title:

Date:

Agent/Broker:



Signing this application does not bind you to enter into this insurance.

No cover is in force until this application is accepted by the Insurer and the premium is paid. The Insurer reserves the right to decline any insurance application or to offer different premium and terms from those quoted dependent on the information you have provided.

Fair Processing Notice

This Privacy Notice describes how AXA XL Insurance Company UK Limited or XL Insurance Company SE (for the purpose of this notice “we”, “us” or the “Insurer”) collect and use the personal information of insureds, claimants and other parties (for the purpose of this notice “you”) when we are providing our insurance and reinsurance services.

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Information will be shared by the Insurer for these purposes with group companies and third party insurers, reinsurers, insurance intermediaries and service providers. Such parties may become data controllers in respect of your personal information. Because we operate as part of a global business, we may transfer your personal information outside the European Economic Area for these purposes.

You have certain rights regarding your personal information, subject to local law. These include the rights to request access, rectification, erasure, restriction, objection and receipt of your personal information in a usable electronic format and to transmit it to a third party (right to portability).

If you have questions or concerns regarding the way in which your personal information has been used, please contact: dataprivacy@axaxl.com.

We are committed to working with you to obtain a fair resolution of any complaint or concern about privacy. If, however, you believe that we have not been able to assist with your complaint or concern, you have the right to make a complaint to the relevant Information Commissioner's Office.

For more information about how we process your personal information, please see our full privacy notice at: <https://axaxl.com/privacy-and-cookies>.

Brokers, Intermediaries, Partners, Employers and Other Third Parties

If you provide us with information about someone else, we will process their personal information in line with the above. Please ensure you provide them with this notice and encourage them to read it as it describes how we collect, use, share and secure personal information when we provide our services as an insurance and reinsurance business.



axaxl.com

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