

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of the Insurer: XL INSURANCE COMPANY SE - INDIA REINSURANCE BRANCH

Registration Number: FRB/007 Dated 01st February 2017

Statement as on: September 30, 2021

Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)

(Business within India)

(Rs. in Lakhs)

Periodicity of Submission: Quarterly

Section I			
No	PARTICULARS	SCH ++	AMOUNT
1	Investments (Shareholders)	NL-12	11,911
	Investments (Policyholders)	NL-12A	36,917
2	Loans	9	
3	Fixed Assets	NL-14	31
4	Current Assets		
	a. Cash & Bank Balance	NL-15	6,114
	b. Advances & Other Assets	NL-16	77,740
5	Current Liabilities		
	a. Current Liabilities	NL-17	104,928
	b. Provisions	NL-18	9,553
	c. Misc. Exp not Written Off	15	
	d. Debit Balance of P&L A/c		5,103
	Application of Funds as per Balance Sheet (A)		13,129
	Less: Other Assets	SCH ++	Amount
1	Loans (if any)	9	
2	Fixed Assets (if any)	10	31
3	Cash & Bank Balance (if any)	11	6,114
4	Advances & Other Assets (if any)	12	77,740
5	Current Liabilities	13	104,928
6	Provisions	14	9,553
7	Misc. Exp not Written Off	15	
8	Investments held outside India		
9	Debit Balance of P&L A/c		5,103
	Total (B)		(35,699)
	Investment Assets'	(A-B)	48,828

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Section II										
No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value (h)
			Balance	FRSM <sup>+</sup>						
			(a)	(b)	(c)	d = (a+b+c)	e = (d-a) %	(f)	(g)=(d+f)	
1	Central Govt. Securities	Not less than 20%	-	9,172	28,428	37,600	77	-	37,600	38,468
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	9,172	28,428	37,600	77	-	37,600	38,468
3	Investment subject to Exposure Norms		-	-	-	-	-	-	-	-
	a. Housing / Infra & Loans to SG for Housing and FFE		-	-	-	-	-	-	-	-
	1. Approved Investments	Not less than 15%	-	2,739	8,489	11,227	23	-	11,227	11,557
	2. Other Investments		-	-	-	-	-	-	-	-
	b. Approved Investments	Not exceeding 55%	-	-	-	-	-	-	-	-
	c. Other Investments		-	-	-	-	-	-	-	-
	Investment Assets	100%	-	11,911	36,917	48,828	100	-	48,828	50,025

Note:

- (+) FRSM refers 'Funds representing Solvency Margin'
- Other Investments' are as permitted under 27A(2)
- Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
- Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
- Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: XL INSURANCE COMPANY SE - INDIA REINSURANCE BRANCH

Registration Number: FRB/007 Dated 01st February 2017

Statement as on: 30th September 2021

Statement of Accretion of Assets

(Rs. Lakhs)

(Business within India)

Periodicity of Submission : Quarterly

No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total
			(A)		(B)		(A+B)	
1	Central Govt. Securities	CGSB	33,693	71%	1,460	99%	35,153	72%
2	Central Govt Sec, State Govt Sec or Other Approved S	CTRB	2,424	5%	23	1%	2,447	5%
3	Investment subject to Exposure Norms							
	a. Housing & Loans to SG for Housing and FFE							
	1. Approved Investments	HTDN	4,044	9%	(4)	0%	4,041	8%
	2. Other Investments							
	b. Infrastructure Investments							
	1. Approved Investments	IPTD	7,192	15%	(6)	0%	7,187	15%
	2. Other Investments							
	c. Approved Investments							
	d. Other Investments (not exceeding 15%)							
Total			47,354	100%	1,473	100%	48,828	100%

Note:

- Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
- Investment Regulations, as amended from time to time, to be referred