

FORM NL-32-STATEMENT OF DOWN GRADED INVESTMENTS

Registration Number: FRB/007 Dated 01st February 2017

Statement as on: September 30, 2023

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Name of Fund General

(Amount in Rs. Lakhs)

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of last Downgrade | Remarks |
|-----------|---|-----|--------|------------------|---------------|----------------|---------------|------------------------|---------|
| A. | <u>During the Quarter</u> ¹ | | | | | | | | |
| | | | | | | NIL | | | |
| | | | | | | | | | |
| B. | <u>As on Date</u> ² | | | | | | | | |
| | | | | | | NIL | | | |
| | | | | | | | | | |

Note:

- 1** Provide details of Down Graded Investments during the Quarter.
- 2** Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3** FORM shall be prepared in respect of each fund.
- 4** Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5** Investment Regulations, as amended from time to time, to be referred